

PAPR reduction using WHT & DHT Precoding transforms in FBMC

*A Project report submitted in partial fulfilment of the requirements for the award
of the degree of*

**BACHELOR OF TECHNOLOGY IN
ELECTRONICS AND COMMUNICATION ENGINEERING**

Submitted by

A Sunil Kumar (318126512122)

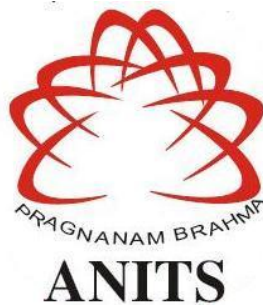
P S Sreekar Karthik (318126512163)

P Hemanth kumar (318126512164)

Under the guidance of

Dr. A. Lakshmi Narayana

Asst.Professor-Department of ECE



**DEPARTMENT OF ELECTRONICS AND COMMUNICATION
ENGINEERING**

ANIL NEERUKONDA INSTITUTE OF TECHNOLOGY AND SCIENCES (UGC
AUTONOMOUS) (*Permanently Affiliated to AU, Approved by AICTE and Accredited by NBA &
NAAC with 'A' Grade*) Sangivalasa, Bheemili mandal, Visakhapatnam. (A.P) 2021-2022

DEPARTMENT OF ELECTRONICS AND COMMUNICATION
ENGINEERING

ANIL NEERUKONDA INSTITUTE OF TECHNOLOGY AND SCIENCES

(Permanently Affiliated to AU, Approved by AICTE and Accredited by NBA &
NAAC with 'A' Grade)

Sangivalasa, Bheemili mandal, Visakhapatnam dist. (A.P)



CERTIFICATE

This is to certify that the project report entitled "Papr reduction using WHT & DHT precoding transforms in FBMC" submitted by A Sunil Kumar(318126512122) ,P S Sreekar Karthik(318126512163), P Hemanth Kumar(318126512164) in partial fulfilment of the requirements for the award of the degree of Bachelor of Technology in Electronics & Communication Engineering of Andhra University, Visakhapatnam is a record of Bonafede work carried out under my guidance and supervision.

Project Guide

Dr. A. Lakshmi Narayana

Assistant Professor, M. Tech, PhD

Department of E.C.E

ANITS

**Assistant Professor
Department of E.C.E.**

Anil Neerukonda

Institute of Technology & Sciences
Sangivalasa, Visakhapatnam-531 162

Head of the Department

Dr.V. RajyaLakshmi

Professor & HOD

Department of E.C.E

ANITS

**Head of the Department
Department of E C E**

Anil Neerukonda Institute of Technology & Sciences
Sangivalasa-531 162

ACKNOWLEDGEMENT

We would like to express our profound gratitude to our project guide **Dr. A. Lakshmi Narayana** M. Tech, PhD, Department of Electronics and Communication Engineering, ANITS, for his guidance with unsurpassed knowledge and immense encouragement. We are grateful to **Dr.V. RajyaLakshmi**, Head of the Department, Electronics and Communication Engineering, for providing us with the required facilities for the completion of the project work.

We are very much thankful to the **Principal and Management, ANITS, Sangivalasa**, for their encouragement and cooperation to carry out this work.

We express our thanks to all teaching faculty of the Department of ECE, whose suggestions during reviews helped us in accomplishment of our project. We would like to thank all non-teaching staff of the Department of ECE, ANITS for providing great assistance in the accomplishment of our project.

We would like to thank our parents, friends, and classmates for their encouragement throughout our project period. At last, but not the least, we thank everyone for supporting us directly or indirectly in completing this project successfully.

PROJECT STUDENTS

A Sunil Kumar (318126512122)
P S Sreekar Karthik (318126512163)
P Hemanth Kumar (318126512164)

ABSTRACT

The Filter bank multicarrier with offset quadrature amplitude modulation (FBMC/OQAM) is a fast developing multicarrier modulation technique that is used in the next generation wireless communication system (5G). FBMC/OQAM supports high data rate and high bandwidth efficiency. However, one of the major drawbacks of FBMC system is high Peak to Average Power Ratio (PAPR) of the transmitted signal, which causes serious degradation in performance of the system. Therefore, it is required to use a proper PAPR scheme at the transmitter. In this project, an investigation is done on FBMC with the combination of Precoding transform technique to reduce PAPR. Two precoding techniques i.e., Discrete Hartley Transform and Walsh Hadamard Transform are examined. The numerical results verify that the FBMC systems with Precoding techniques reduce PAPR of the signals greatly that the conventional FBMC. Furthermore, DHT reduces better than WHT and conventional FBMC.

CONTENTS

LIST OF FIGURES	viii
LIST OF TABLES	ix
LIST OF ABBREVIATIONS	ix
CHAPTER 1: INTRODUCTION	
1.1 Literature Survey	1
1.2 Overview	3
1.3 Organization of The Project	4
CHAPTER 2: INTRODUCTION TO 5G COMMUNICATION	
2.1 Introduction	5
2.2 What is 5G Technology?	6
2.2.1 Development of mobile networks	6
2.3 Salient Features of 5G	9
2.3.1 Engendering of 5G Communication	9
2.4 Advantages of 5G Communications	10
2.4.1 Disadvantages of 5G Technology	10
2.4.2 Applications of 5G Technology	11
2.4.3 Future Scope of 5G	12
2.4.4 Which countries are using 5G Technology?	13
CHAPTER 3: OFDM AND FBMC SYSTEMS	
3.1 OFDM	14
3.1.1 Concept and process	14
3.1.2 Block diagram of OFDM	15
3.1.3 Cyclic Prefix	16

3.2 Introduction to FBMC	16
3.2.1 Development of FBMC	17
3.2.2 What is FBMC	18
3.3 How data can travel in FBMC	19
3.4 Block diagram of FBMC	20
3.4.1 OQAM Pre-Processing	21
3.4.2 IFFT-Frequency Domain to Time Domain Conversion	22
3.4.3 Poly-Phase Network	22
3.4.4 precoding transform	23
3.4.5 Parallel to Serial Conversion	23
3.4.6 AWGN Channel	23
3.4.7 Serial to Parallel Conversion	24
3.4.8 FFT-Time Domain to Frequency Domain Conversion	24
3.4.9 OQAM Post Processing	25
3.5 Key Feature of FBMC	25
3.6 Difference between FBMC and OFDM	26
3.7 Advantages of FBMC system	27
3.7.1 Disadvantages of FBMC system	28
CHAPTER 4: PRECODING TECHNIQUES	
4.1 Peak to average power ratio(PAPR)	29
4.2 PAPR Reduction techniques	29
4.3 Introduction to Precoding techniques	30
4.4 walsh hadamard transform	31
4.5 Discrete hartley transform	32

4.6 Properties of discrete hartley transform	33
--	----

CHAPTER 5: MATLAB

5.1 Introduction to MATLAB	34
5.2 The MATLAB System	35
5.2.1 Development Environment	35
5.2.2 The MATLAB Algebraic Function	35
5.2.3 The MATLAB Language	36
5.2.4 Graphics	36
5.2.5 The MATLAB Appliance Affairs Interface (AP)	37
5.2.6 MATLAB Desktop	37
5.2.7 Using the MATLAB Editor to actualize M-Files	37
5.2.8 Getting Help	37
5.3 Communication	38
5.4 Key Features	38
5.5 System Design	39
5.6 System Characteristics	39
5.7 BER	39
5.8 Performance Visualization	40
5.9 Analog and Digital Modulation	40
5.10 Source and Channel Coding	40
5.10.1 Source Coding	41
5.10.2 Channel Coding	41

CHAPTER 6: SIMULATION RESULTS

6.1 Power Spectral Density (PSD)	42
6.2 Peak to Average Power in FBMC System	43
6.2.1 CCDF vs PAPR (dB) plots in FBMC System with DHT &WHT transforms	45

Chapter 7: CONCLUSION AND FUTURE SCOPE

7.1 Conclusion	48
7.2 Future Scope	49

REFERENCES 50

LIST OF FIGURES

1. Fig 2.1 Introduction to 5G Communication.
2. Fig 2.2 Evolution of mobile networks
3. Fig 2.3 Graph-1 showing the timeline of all Previous Generation Techniques.
4. Fig 2.4 Features of 5G
5. Fig 2.5 Future Scope in 5G.
6. Fig 2.6 Graphical distribution of 5G Patent Families
7. Fig 3.1 OFDM Block diagram.
8. Fig 3.2 Cyclic Prefix of OFDM.
9. Fig 3.3 The Graphical Illustration of the FBMC Transmitter.
10. Fig 3.4 The Graphical Illustration of the Generic FBMC Receiver.
11. Fig 3.5 OQAM Signaling.
12. Fig 3.6 Proposed Transceiver Structure of FBMC.
13. Fig 3.7 Frequency Response of FBMC and OFDM.
14. Fig 6.1 Power Spectral Density.
15. Fig 6.2 Conventional FBMC.
16. Fig 6.3 FBMC with DHT precoding
17. Fig 6.4 FBMC with WHT precoding
18. Fig 6.5 FBMC with DHT & WHT precoding

LIST OF TABLES

TABLE -1 Papr reduction using WHT & DHT precoding transforms in FBMC.

LIST OF ABBREVIATIONS

1. FBMC	Filter Bank Multi Carrier
2. OFDM	Orthogonal Frequency Division Multiplexing
3. ISI	Inter Symbol Interference
4. WHT	Walsh Hadamard transform
5. DHT	Discrete Hartley transform
6. CP	Cyclic Prefix
7. AWGN	Add White Gaussian Noise
8. FFT	Fast Fourier Transform
9. MUX	Multiplexer
10. DEMUX	Demultiplexer
11. PSD	Power Spectral Density
12. 5G	Fifth Generations
13. LTE	Long Term Evolution
14. CP-OFDM	Cyclic Prefix - Orthogonal Frequency Division Multiplexing
15. BER	Bit Error Rate
16. SNR	Signal to Noise Ratio
17. PAPR	Peak to Average Power Ratio
18. MIMO	Multiple Input Multiple Output
19. OQAM	Offset Quadrature Amplitude Modulation
20. ACE	Active Constellation Extension
21. POCS	Projection onto Convex Sets
22. SGP	Smart Gradient Projection
23. IP	Internet Protocol

CHAPTER 1

INTRODUCTION

1.1 Literature Survey

The spectral efficiency of Filter Bank Multicarrier Systems has been compared to that of the most prominent OFDM systems. The estimation of channels and usage of multiple antennas have been intriguing and the interests associated with FBMC by the real-world testbed measurements are addressed. Equalizers with one tap are sufficient for practical implementation if the pulse shaping is matched to the channel statistics. To make the system more robust, closed form solutions for signal to interference ratio are obtained for doubly dispersive channels.

Wafa Khrouf et al. proposed an epitome based on ping pong optimized pulse shaping (POPS) as a coercive method for the generation of multicarrier waveforms such as in the filter bank multicarrier (FBMC/OQAM) scheme, assuring the favourable signal to interference plus noise ratio (SINR) at the receiving end. The investigations are based on the designing of multicarrier transmissions over the channels having strong delay spread and are space time variants. The reduction in ICI and ISI levels achieved after applying the POPS paradigm is prominent. The analytical results for the SINR have been studied and the performance metrics of the FBMC/OQAM turned out to be better with those for the FBMC/QAM modulation for some parameters.

Francois Rottenberg et al. proposed a parallel equalisation scheme for correction of channel frequency selectivity in this paper. In the presence of a doubly dispersive channel, the effectiveness of FBMC/OQAM systems has been investigated. It has been noticed that increased channel selectivity in time and frequency spifflicated the orthogonality in symbols, resulting in distorted signal at the receiver. The authors conducted research on the channel's temporal and frequency selectivity in this paper.

Ali Jasim Ramadhan discussed the prototype filter designed for the fifth generation Filter Bank Multicarrier systems implementation. The shortcomings in the former

technologies led to increased latency and enhanced symbol lengths without affecting channel capacity.

Bidyalaxmi Devi Tensub et al. provides an overview of the multicarrier modulation schemes competent enough to meet the requirements of the future telecommunication areas. It discussed the techniques dominating the wireless communications till now and their downsides. The technology approaches the implementation of filter banks in which Fast Fourier Transforms form the basis for the development of sub bands being processed.

Daiming Qutal. presented an approach for tail shortening applied on the FBMC/OQAM symbols, leading to the spectrum being more spectrally efficient.

Han Wang et al. proposed a hybrid PAPR reduction scheme consisting of partial transmit sequence and tone reservation for FBMC/OQAM systems.

In FBMC system, the signal with high spectral containment will be used to reduce the sidelobes of each subcarrier frequency. FBMC gives better bandwidth efficiency than OFDM from the paper "Improvement of FBMC over OFDM in terms of PSD and BER", by A. Lakshmi Narayana, faculty of electronics and communication engineering, Anil Neerukonda Institute of Technology and Sciences, Andhra Pradesh, says that the power spectral density is more in FBMC system comparatively than OFDM system. Also, out of FBMC and OFDM, FBMC has high PAPR. From the paper "The potential of FBMC over OFDM for the Future 5G Mobile Communication Technology", by A.N. Ibrahim, M.F.L. Abdullahi, who was the faculty of electrical and electronics engineering, University Tun Hussein Onn Malaysia, that SNR is more in FBMC than OFDM and BER is less in FBMC than OFDM.

1.2 Overview:

In this time, the demand for high-speed data services has grown in importance. Data transmission may be classified into two types: wireless and wired. For data transfer in hostile settings, these data services must be extremely dependable. Noise, high attenuation, temporal variation, and multipath non-linearities affect the majority of these transmission systems. The multi-carrier modulation technique is a physical layer approach that has grown in popularity in recent years due to its reliability. The most often used multi-carrier modulation technology is OFDM (Orthogonal Frequency Division Multiplexing), followed by FBMC (Filter Bank Multicarrier), which has lately gained popularity in wireless communication. There is a great demand for advanced communication and according to it there should be high data rate in addition to both efficiency and low bit error rate.

Filter Bank Multicarrier with Offset Quadrature Amplitude Modulation System (FBMC/OQAM) as one of the many new waveforms is proposed for the next wireless communication system (5G). The FBMC system is a multicarrier modulation scheme with relaxed orthogonality, increased frequency efficiency, improved shape and low out-of-band interference inherent in FBMC/OQAM techniques. In OFDM, the entire band is separated, but in FBMC, each subcarrier is shifted independently. When carriers are modulated using FBMC, side lobes are removed and give clear outcomes.

The main drawback of the FBMC/OQAM system is a high Peak to Average Power Ratio (PAPR) of the transmitted signal. To overcome that, many researchers proposed schemes to reduce PAPR for the FBMC system. Active Constellation Extensions (ACE) with projection onto convex sets (POCS) and smart gradient projection (SGP), are used for reducing PAPR of FBMC system. But they increase the average power transmitted leading to a decrease in the power efficiency and degrading the bit error rate (BER) performance as well as they require more implementation complexity.

Proper precoding scheme at the transmitter is used to reduce the PAPR. In this paper, a investigation with the combination of precoding transform techniques to reduce PAPR in FBMC systems. Two precoding techniques are examined to find the best

Precoding technique . We assessed the discrete Hartley transform (DHT) and the Walsh Hadamard transforms (WHT).The Precoding technique is used to reduce the autocorrelation of the input sequence to reduce the peak to average power (PAPR) of FBMC signal and the results make the envelope almost constant.

1.3 Organization of the Project:

The project report is organised in six chapters. It starts from the introduction. The current Chapter 1 introduces the project and gives a brief description of Filter Bank Multi Carrier compared to Orthogonal Frequency Division Multiplexing technique. Chapter 2 provides the brief idea about the 5G Communication and it gives the introduction to the 5G. Chapter 3 gives the detailed working of the Filter Bank Multi Carrier and detailed study of the block diagram. Chapter 4 talks about the Precoding transform techniques i.e., about DHT, WHT also about PAPR(Peak to Average Power Ratio). Chapter 5 talks about the introduction to MATLAB. Chapter 6 gives the Result, Conclusion and Future scope of 5G technology.

CHAPTER 2

INTRODUCTION TO 5G COMMUNICATION

2.1 Introduction:

The fifth-generation technology is known as 5G. It includes numerous complex capabilities that have the ability to address many of our everyday issues. It benefits the government by making governance simpler; it benefits students by making advanced courses, lectures, and resources available online; and it benefits the general public by allowing them to use the internet from wherever. As a result, this lesson is organised into chapters and covers 5G technology, applications, problems, and more.

Radio technologies have evidenced a rapid and multidirectional evolution with the launch of the analogue cellular systems in the 1980s. Thereafter, digital wireless communication systems are consistently on a mission to fulfil the growing need of human beings (1G, ...4G, or now 5G).



Fig 2 .1 Introduction to 5G Communication.

2.2 What is 5G Technology?

5G is the fifth generation of cellular technology. It is designed to increase speed, reduce latency, and improve flexibility of wireless services. 5G technology has a theoretical peak speed of 20 Gbps, while the peak speed of 4G is only 1 Gbps.

5G also promises lower latency, which can improve the performance of business applications as well as other digital experiences (such as online gaming, videoconferencing, and self-driving cars).

Then — how is 5G different from the previous one (especially 4G)? The answer is — it is not only the increase in bitrate made 5G distinct from the 4G, but rather 5G is also advanced in terms of –

- High increased peak bit rate
- Larger data volume per unit area (i.e., high system spectral efficiency)
- High capacity to allow more devices connectivity concurrently and instantaneously
- Lower battery consumption
- Better connectivity irrespective of the geographic region, in which you are
- Larger number of supporting devices
- Lower cost of infrastructural development
- Higher reliability of the communications

As researchers say, with the wide range of bandwidth radio channels, it is able to support the speed up to 10 Gbps, the 5G Wi-Fi technology will offer contiguous and consistent coverage-wider area mobility in true sense.

2.2.1 Development of Mobile Networks:

Mobile communication technology has made it possible for people to connect and communicate in remote parts of the world where even electricity cannot be taken for granted [1]. Mobile communication technologies developed from supporting analog

voice only to powerful systems that provided countless of different applications to billions of consumers [2]. Figure

1.1 shows the timeline of various networking communication technologies categorized into

‘Generations’ [3]. Subsequently, the digital wireless communication systems are consistently on a delegation to achieve the growing need of human beings.

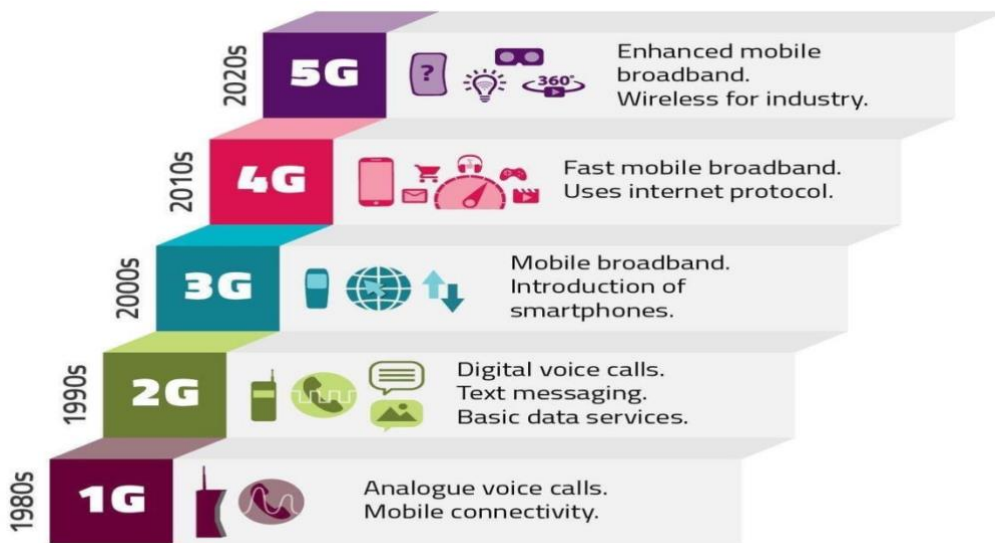


Figure:2.2 Evolution of mobile networks

First Generation (1G) was introduced in 1980s and provided voice transmissions and bandwidth of 2kbps [4]. 1G is an analog system and comprises the following technologies which are Advance Mobile Telephone Systems (AMTS), Improved Mobile Telephone Service (IMTS), Push to Talk (PTT) and Mobile Telephone System (MTS) [3]. Second Generation (2G) started in 1990s and was commercially launched on the GSM standard which is based on digital technology and network infrastructure with the speed up to 14.4kbps. 2G consists of the following technologies which are Global System for Mobile Communication (GSM), Enhanced Data Rates for GSM Evolution (EDGE), Code Division Multiple Access (CDMA) and General Packet Radio Service (GPRS) [3]. Third Generation (3G) introduced in 2000s quest for data at higher speeds [4]. It substantiates video calling with the speed up to 2Mbps. 3G comprises Universal Mobile Telecommunication Systems (UMTS),

Wideband CDMA, UBluetooth, High Speed Downlink Packet Access (HSDPA) and Wireless Local Area Network (WLAN) [3].

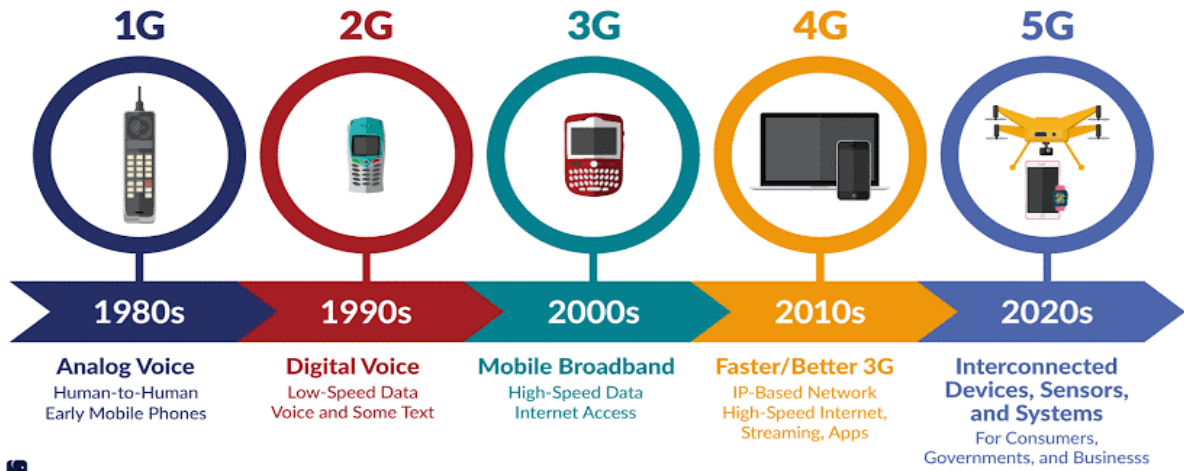


Figure2.3 Graph-1 showing the timeline of all Previous Generation

Techniques.

Fourth Generation (4G) introduced in 2000s. 4G uses the concept of connectivity anywhere, anytime from any kind of devices and it is indeed observed in user behavior [5]. It supposed to provide 100Mbps to 1Gbps to users [6] and the range of latency between 40ms and 60ms. 4G able to delivering faster and better mobile broadband experiences besides application of mobile web access and the high quality of videos and images. Besides that, 4G LTE were introduced because it is not able to fully reach the range of 4G. The download process, stream and browse faster with better connectivity. It is closer to meet the criteria of standards. Next, LTE-Advanced was introduced which is more progressive of technologies and standards which is capable to deliver faster and bigger data. Besides that, it offers to deliver true speeds of 4G compared to the LTE networks. 4G comprised Long Term Evolution (LTE), Worldwide Interoperability for Microwave Access (WiMAX), Multiple Input Multiple Output (MIMO) smart antenna technologies, Mobile Broadband Wireless Access (MBWA) and Orthogonal Frequency Division Multiplexing (OFDM). Nowadays, there are more powerful laptops and smartphones which is becoming

more attractive and demanding advanced multimedia capabilities. This has led to an eruption of wireless mobile devices and services.

2.3 Salient features of 5G:

The salient features of 5G communication are

- Up to 10Gbps data rate - > 10 to 100x speed improvement over 4G and 4.5G networks.
- 1-millisecond latency.
- 1000x bandwidth per unit area.
- Up to 100x number of connected devices per unit area (compared with 4G LTE)
- 99.999% availability.
- 100% coverage.
- 90% reduction in network energy usage.

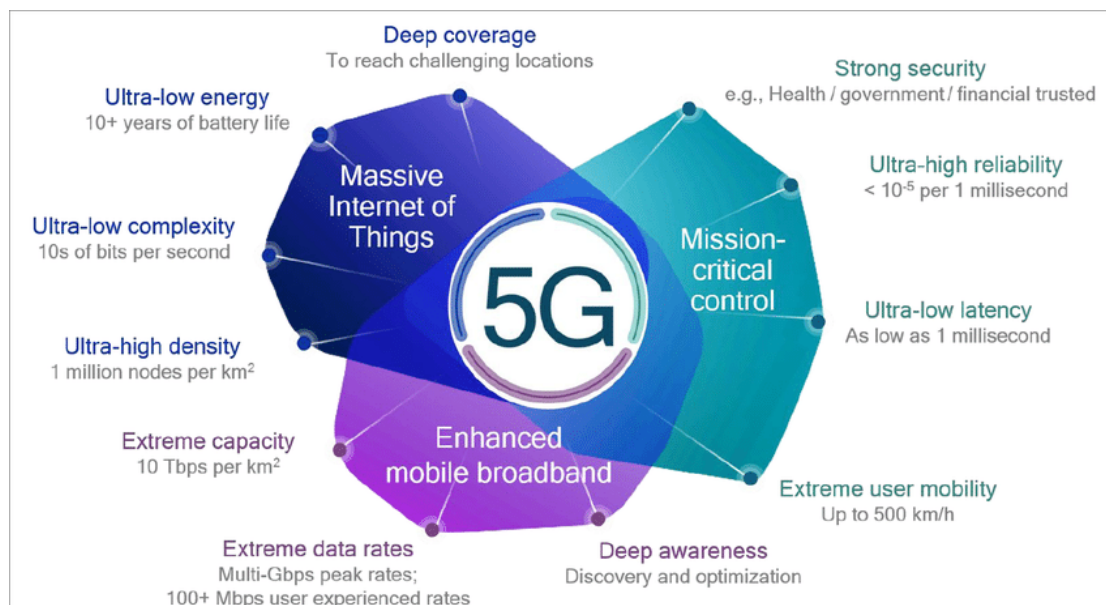


Fig 2.4 Features of 5G

2.4 Advantages of 5G Communications

1. High speeds

When compared to 4G and 4G LTE, 5G is quicker on mobile phones and other devices. Users may now download movies, videos, and music in seconds rather than minutes. Organizations may utilise the network's 20 Gbps speed for services like automation, enhanced web conferencing, and more. According to a recent survey, users who utilised 5G saved roughly 23 hours per day on downloading.

2. Low latency

5G has low latency when compared to 4G that will support new applications such as AI, IoT, and virtual reality efficiently. Not only that, it enables mobile phone users to open a webpage and browse things without any hassles. Another thing is that it gives ways to access the internet anytime when looking for some important information.

3. Increased capacity

5G has the capacity to deliver up to 100 times more capacity than 4G. It allows companies to switch between cellular and Wi-Fi wireless strategies that will help a lot to experience better performance. Apart from that, it provides methods to access the internet with high efficiency.

4. More bandwidth

One of the main advantages of 5G is that it increases more bandwidth that will help transfer the data as soon as possible. Furthermore, mobile phone users can ensure a faster connection with more bandwidth after choosing a 5G network.

2.4.1 Disadvantages of 5G Technology

1. Limited global coverage

The main disadvantage of 5G is that it has limited global coverage and is available only in specific locations. Only cities can benefit a lot from the 5G network and remote areas may not get the coverage for some years. Moreover, the expenses for setting tower stations are high when compared to other networks.

2. Decreased broadcast distance

Although 5G works fast at high speed, it won't travel as far when compared to 4G. Moreover, tall buildings and trees may block the frequency of the 5G network that will result in various problems. Therefore, it requires more towers for coverage that is time-consuming and expensive. Rain can also cause problems to 5G coverage that needs more protection.

3. Upload speeds

5G technologies allow mobile phone users to ensure high download speeds. On the other hand, the upload speeds are not over 100 Mbps when compared to 4G. Furthermore, mobile phones need better battery technology while using a 5G connection. Many phone users say that they experience more heat on their devices while running 5G.

4. Weakened device batteries

Phones that use a 5G connection will result in a huge battery drain that reduces the lifespan to a large extent. Hence, manufacturers need to invest in new battery technologies to protect the battery from damages and other problems.

2.4.2 Applications of 5G

It will make a unified global standard for all.

- Network availability will be everywhere and will facilitate people to use their computer and such kind of mobile devices anywhere anytime.
- Because of the IPv6 technology, visiting care of mobile IP addresses will be assigned as per the connected network and geographical position.
- Its application will facilitate people to avail radio signal at higher altitude as well
- High-speed mobile network. 5G will revolutionize the mobile experience with a supercharged wireless network, which can support up to 10 to 20 GBPS of data download speed.

- Entertainment and multimedia.
- Internet of Things – Connecting everything.
- Satellite Internet.

2.4.3 Future Scope of 5G

Several researches and discussions are going on across the world among technologists, researchers, academicians, vendors, operators, and governments about the innovations, implementation, viability, and security concerns of 5G.

As proposed, loaded with multiple advanced features starting from the super high speed internet service to smooth ubiquitous service, 5G will unlock many of the problems. However, the question is — in a situation, where the previous technologies (4G and 3G) are still under process and in many parts yet to be started; what will be the future of 5G?



Fig 2.5 future scope in 5G

5th generation technology is designed to provide incredible and remarkable data capabilities, unhindered call volumes, and immeasurable data broadcast within the

latest mobile operating system. Hence, it is a more intelligent technology, which will interconnect the entire world without limits.

Likewise, our world would have universal and uninterrupted access to information, communication, and entertainment that will open a new dimension to our lives and will change our lifestyle meaningfully.

2.4.3 Which countries are using 5G Technology?

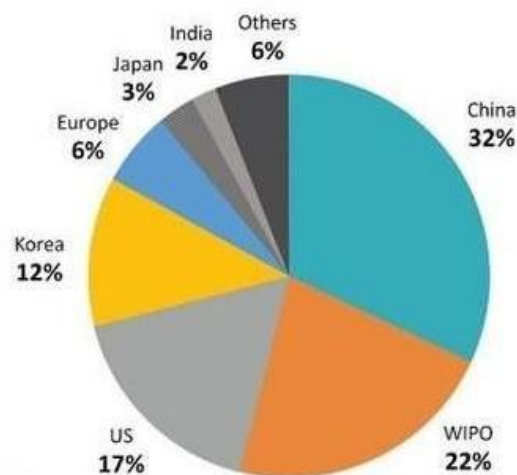


Fig 2.6 Graphical distribution of 5G Patent Families

First adopters embracing 5G will gain a competitive edge over the others, and it looks like China is currently leading the way. According to various sources, Asian countries like China, South Korea, Japan, and the US (outside Asia) are investing and building 5G infrastructure.

Since 2015, China has spent the most money on 5G and the amount is about US\$24 billion which is more than any other countries. These numbers are momentous, as the potential economic impact of 5G far outweighs the improvements recorded when the industry transitioned from 3G to 4G.

CHAPTER 3

OFDM AND FBMC SYSTEMS

3.1 Orthogonal Frequency Division Multiplexing

Orthogonal frequency-division multiplexing (OFDM) is a sort of digital transmission and a way of encoding digital data on multiple carrier frequencies used in telecommunications.

3.1.1 Concept and Process

Orthogonal Frequency Division Multiplexing (OFDM) is one of the modulation types used for current wireless and telecommunications systems. This system used the technique of encoding digital data on multiple carrier frequency and becomes a popular method for wideband digital communication. It is widely used to produce high data rates and combating multipath fading in wireless communication technology. OFDM is already used over the world to attain high data rates which is needed for data intensive applications. It has been used in wireless network, audio broadcasting and 4G mobile communication technology. This modulation format already been used in the WIFI arena (802.11a, 802.11ac, etc). OFDM use the Cyclic Prefix (CP) which will reduce the overall spectral efficiency. OFDM based on the idea of modulating each data stream on subcarriers and dividing high-bit-rate data stream into several lower bit-rate data. Conventional OFDM makes use of Fast Fourier Transform (FFT) as its basic block. Multicarrier modulation knowing as schemes which able to provide high data rate. Figure 4 shows the frequency response for OFDM which exhibits strong sidelobes due to rectangular windowing. OFDM is a wideband modulation technique which is able to handle the issues of the multipath reception by transmitting many narrowband overlapping digital signals in parallel in one wide band. It is very useful for communication over channels with frequency

selective fading. Nevertheless, it is difficult in handling selective fading in the receiver because of the complicate architecture of the receiver. Besides that, flat fading is easy to combat compared to the frequency selective fading by the use of simple error correction and equalization schemes.

OFDM is based on the principle of multicarrier modulation and is a special case of frequency division multiplexing.

This technique saves up to 50% of the total spectrum than FDM technique and promotes the efficient usage of the available bandwidth.

In OFDM technique, the system bandwidth is divided into sub bands and these sub bands are orthogonal and independent to each other.

OFDM use the Cyclic Prefix(CP) which will reduce the overall spectral efficiency.

Although OFDM has an edge over FDM, cyclic prefix are used for robustness of signal, but by using cyclic prefix orthogonal frequency division multiplexing has some drawbacks.

To overcome the drawback of OFDM, we make use of Filter Bank Multicarrier (FBMC) since it provides the efficient bandwidth.

3.1.2 BLOCK DIAGRAM OF OFDM

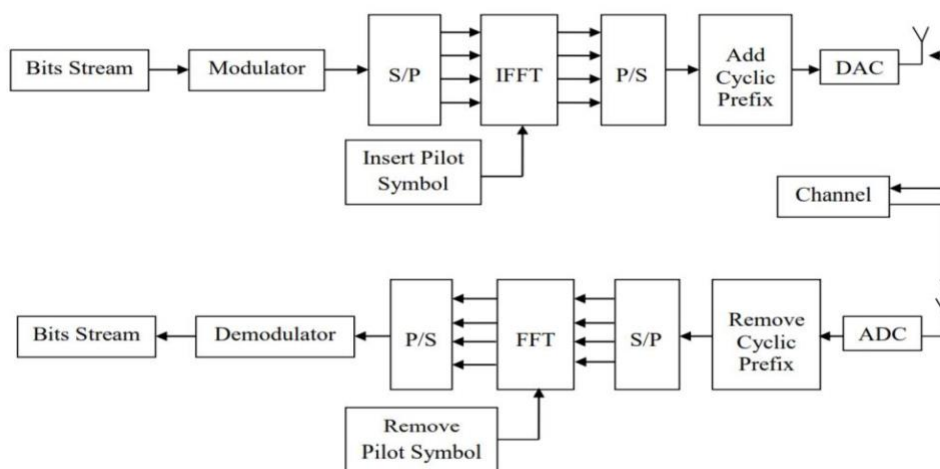


Fig3.1 OFDM Block diagram

3.1.3 CYCLIC PREFIX

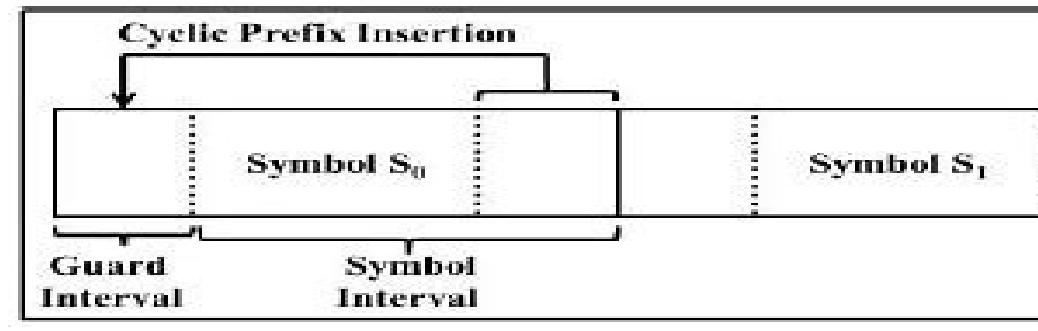


Fig3.2 Cyclic Prefix of OFDM

The cyclic prefix used in Frequency Division Multiplexing schemes including OFDM to primarily act as a guard band between successive symbols to overcome intersymbol interference, ISI.

The cyclic prefix performs two main functions:

- The cyclic prefix provides a guard interval to eliminate intersymbol interference from the previous symbol.
- It repeats the end of the symbol so the linear convolution of a frequency-selective multipath channel can be modeled as circular convolution, which in turn may transform to the frequency domain via a discrete Fourier transform. This approach accommodates simple frequency domain processing, such as channel estimation and equalization.

3.2 Introduction to FBMC

Filter Bank Multicarrier (FBMC) is a kind of multicarrier modulation in which the carriers are filtered to produce a waveform that is more spectral efficient. Filter Bank Multicarrier, or FBMC, is a multi-carrier modulation technique that has its roots in OFDM. It is a variation of OFDM that seeks to address some of the difficulties, however at the expense of more signal processing.

FBMC has a much better usage of the available channel capacity and is able to offer higher data rates within a given radio spectrum bandwidth, i.e., it has a higher level of spectrum efficiency. Filter bank multicarrier aims to overcome some of the shortcomings that were encountered with OFDM, orthogonal frequency division multiplexing. One of the main shortcomings arises from the fact that OFDM requires the use of what is termed as cyclic prefix. The cyclic prefix is essentially a copy part of a transmitted symbol in OFDM that is appended at the beginning of the next which is considered to be a major drawback and it is eliminated using FBMC. The reason behind the wide usage of the FBMC system are it is a multicarrier modulation scheme with relaxed orthogonality, it has increased frequency efficiency, improved shape, and low out-of-band interference.

3.2.1 Development of FBMC

The use of FBMC and multicarrier modulation in general has come to the fore in recent years as it provides an ideal platform for wireless data communications transmissions.

However, the concept of FBMC technology was first investigated in the mid-1960s during research into methods for reducing interference between closely spaced channels and also avoid the use of cyclic prefix which results in increased complexity. In addition to these other requirements needed to achieve error free data transmission in the presence of interference and selective propagation conditions.

Initially the use of FBMC mainly aims at removing cyclic prefix that is used in OFDM but later on it is found that there are some drawbacks such as increased Peak to Average Power Ratio which resulted in power amplifier inefficiency but later on many schemes have been proposed to reduce PAPR which resulted in high efficiency enabling FBMC to be considered for the 5G mobile communications systems which started to be deployed from around 2019. Also, FBMC was adopted for Wi-Fi and a variety of other wireless data systems.

3.2.2 What is FBMC

Multicarrier (MC) systems are subdivided into FBMC systems. FBMC modulation is a multicarrier modulation technique that uses a series of synthesis and analysis filters at both the transmitter and receiver. The bandpass filters used in the FBMC systems are a set of them. The frequency shifted or modulated variants of a prototype lowpass filter are used in this filter. As the filter bandwidth, FBMC offers higher spectrum confinement than OFDM, therefore selectivity is a parameter that may be varied during lowpass prototype construction. In addition, FBMC has a higher bandwidth efficiency than OFDM. Because FBMC does not employ the CP extension, interferences inside and around the used frequency range will be effectively attenuated. Then there are the FBMC systems.

Figure 3.3 shows the graphical illustration of the FBMC transmitter meanwhile Fig 3.4 shows the graphical illustration of a generic FBMC receiver. At the transmitter as shown in Fig. 3.3, the high-speed input signal will be demultiplexed into N branches. After that, it will be modulated by the different or same signal constellation as required. The subsequent modulated branches are unsampled to give N copies. The unsampled data will be sent through the set of synthesis filters $g_k(n)$, $k=0,1,\dots,N-1$. Next, to produce the transmitted signal $s(n)$, the output of all filters will be summed together. At the receiver as shown in Fig. 3.4, to give N subcarriers of different center frequencies, the received signal $r(n)$ will be passed through to the bank of analysis filters $f_k(n)$, $k=0, 1,\dots,N-1$. The signal in every branch will be down sampled by N , demodulated and multiplexed to produce the estimate of the original signal $X_r(n)$.

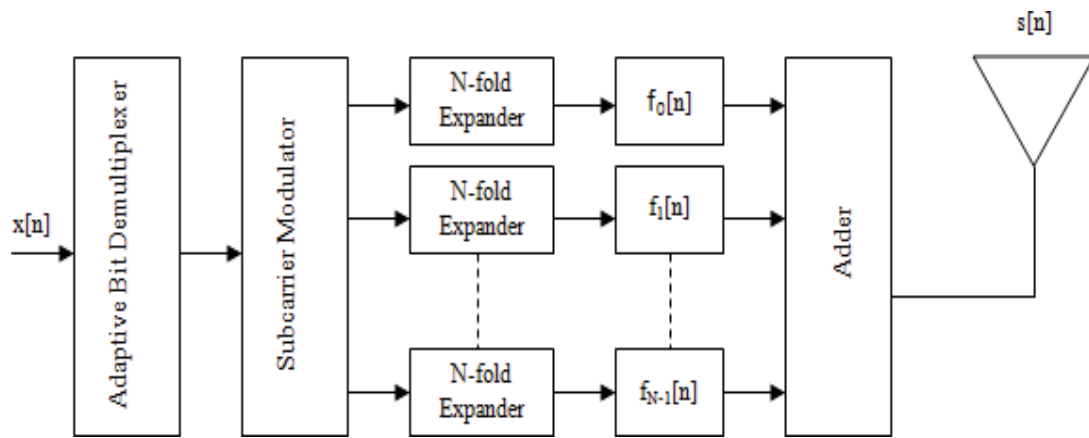


Fig 3.3 Graphical Illustration of a generic FBMC Transmitter

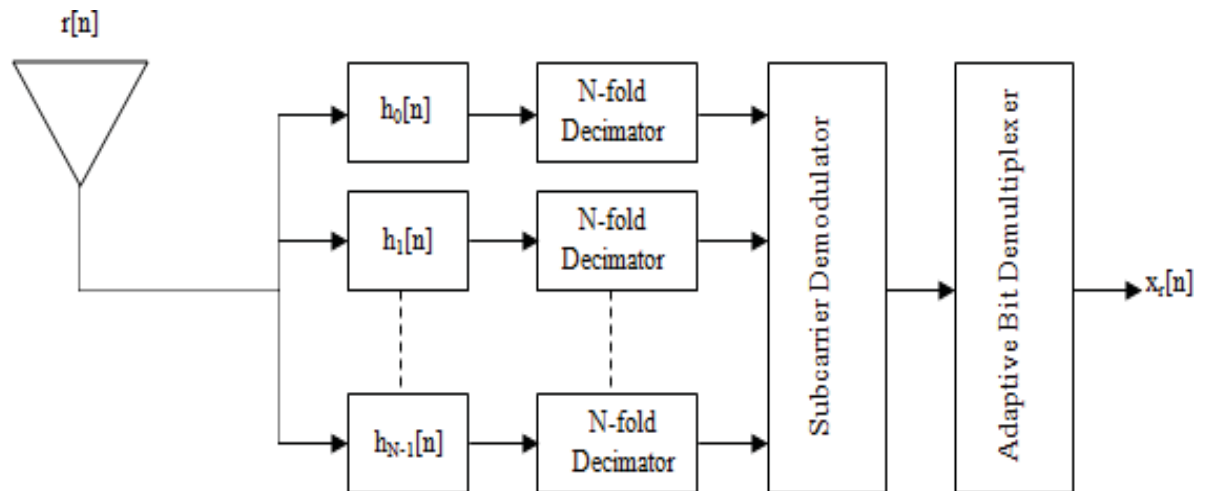


Fig 3.4 The graphical illustration of a generic FBMC receiver

3.2 How data can travel in FBMC

The traditional format for sending data over a radio channel is to send it serially, one bit after another. This relies on a single channel and any interference on that single frequency can disrupt the whole transmission.

FBMC adopts a different approach. First the data is transmitted in parallel across the various subcarriers and then there is a shift of half the inverse of the sub-channel between the real part and the imaginary part of a complex symbol such that there is staggering of in-phase and quadrature-phase components in both time and frequency domains, as shown in Fig. 9 and hence, orthogonality is maintained within the real and imaginary domains separately.

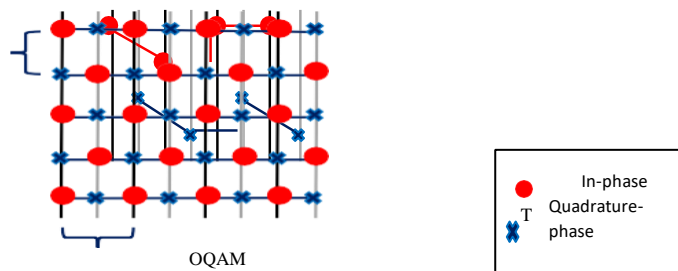


Fig 3.5 OQAM Signaling.

The distribution of data with an offset introduced between the real and imaginary parts has some further advantages. Due to the presence of offset the inter symbol interference and inter carrier interference can be eliminated to some extent. By performing proper post processing at the receiver enables us to reconstruct the data.

3.3 Block Diagram of FBMC

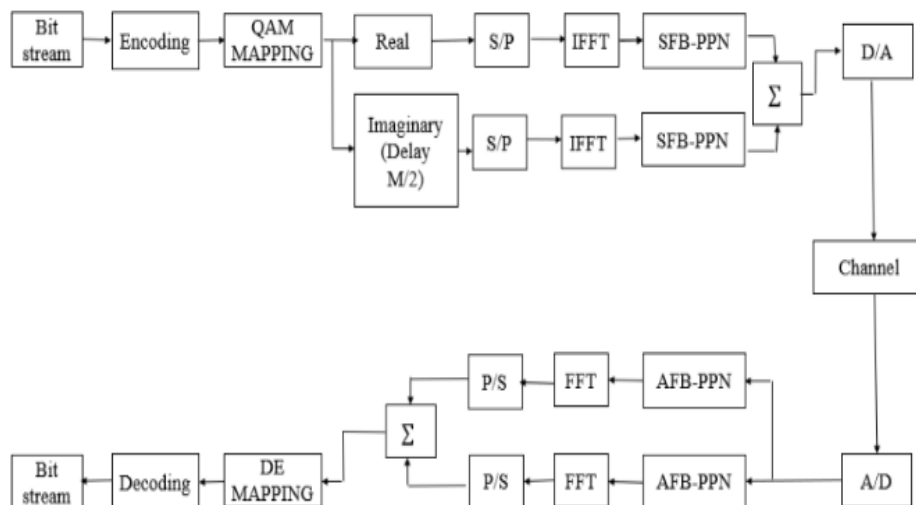


Fig 3.6 Proposed Transceiver Structure of FBMC

3.3.1 OQAM Pre-Processing

The function of OQAM pre-processing is to take the serial to parallel converted data that is obtained from the random generator and then perform the quadrature amplitude modulation which results in complex data, and then there is a shift of half the inverse of the sub-channel between the real part and the imaginary part of a complex symbol such that there is staggering of in-phase and quadrature-phase components in both time and frequency domains the output of the OQAM pre-processing is then passed through the IFFT block.

r_k

3.3.2 IFFT-Frequency-Domain to Time Domain Conversion

The orthogonality of the subcarrier is maintained and the frequency domain signals are converted into a time domain and the generation of real-output signal is achieved by arranging the conjugate of the sub-carrier. In this stage the techniques like IFFT Mapping, zero mapping and selector bank are included to overcome the problem of length of subcarrier and bin size.

3.3.3 Polyphase Network

In order to fix the size of FFT some additional processing is required, called as polyphase network. The prototype filter is defined as a set of coefficients and the relationship between input and output sequences, which is

$$y(n) = \sum_{i=0}^{L-1} h_i x(n - i) \quad (1)$$

The filter impulse response, of length L, is the sequence of coefficients h_i the frequency response is expressed by

$$H(f) = \sum_{i=0}^{L-1} h_i e^{-j2\pi i f} \quad (2)$$

The filter has linear phase if the coefficients are symmetrical and, in this case, the delay is

$$\tau = \frac{L-1}{2} \quad (3)$$

In digital signal processing, and particularly in digital filtering, it is customary to use the Z- transfer function, which generalizes the frequency response and is defined by

$$H(Z) = \sum_{i=0}^{L-1} h_i Z^{-i} \quad (4)$$

The filter frequency response is the restriction of the Z-transfer function to the unit circle, i.e., it is obtained by letting $Z = e^{j2\pi f}$.

Now, if we assume, as in the previous section, that the filter length is a product of two factors,

$L = K.M$, the sequence of filter coefficients can be decomposed into M interleaved sequences of K coefficients and the Z-transfer function can be expressed as a double summation

It turns out that each individual filter element has a frequency response of a phase shifter hence the name of polyphase decomposition and polyphase network for the complete set.

Now, turning to the filter bank in the transmitter, which is generated by shifting the response of the prototype filter on the frequency axis, a global Z-transfer function can be derived Shifting the frequency response of the filter function $H(f)$ by $1/M$ on the frequency axis leads to the function

$$B(f) = H(f-1/M)$$

The key point here is that the functions $H(Z^M)$ are not affected by the frequency shift. Then, considering all the shifts by multiples of $1/M$ and the associated filters, and letting $W = e^{-j\frac{2\pi}{M}}$, a matrix equation is obtained which is the inverse discrete Fourier transform and all the filters in the bank have the same filter elements.

In the implementation, the transmitter output is the sum of the outputs of the filters of the bank. Thus, the processing associated with the filter elements $H(Z^M)$ can be carried out after the summation which is performed by the iDFT.

The same scheme applies to the filter bank in the receiver. The difference is that the

frequency shifts are multiples of $-1/M$ and the discrete Fourier transform (DFT) replaces the iFFT. In fact, for each sub-channel, the signal of interest is shifted around the frequency origin and filtered. Again, the filter elements are the same for all the filters in the bank and, since it is the sum of the sub-channel signals which is received, the processing can be common and the separation of the signals can take place afterwards, with the help of the DFT.

3.3.4 Precoding Transform

The Walsh Hadamard Transform (WHT) is non-sinusoidal and can be implemented by a butterfly structure as in FFT. This means that applying WHT does not require the extensive increase in system complexity. WHT decomposes a signal into a set of basic functions. These functions are Walsh functions, which are square waves with value +1 and -1.

A discrete Hartley transform (DHT) is a Fourier related transform of discrete, periodic data similar to the (DFT), with analogous applications in signal processing and related fields. Its main distinction from the DFT is that it transforms real inputs to real outputs, with no intrinsic involvement of complex numbers.

3.3.5 Parallel to Serial Conversion

The final stage in the implementation must undo the first stage. A switch is used to time- division multiplex the four individual bit signals into a single sequence.

3.3.6 AWGN Channel

Additive white Gaussian Noise (AWGN) is a basic noise model used in Information theory to imitate the effect of many random processes that occur in nature. The term additive white Gaussian noise (AWGN) originates due to the following reasons:

Additive: The noise is additive, i.e., the received signal is equal to the transmitted signal plus noise. This gives the most widely used equality in communication systems which is shown in Figure below.

$$r(t)=s(t)+w(t) \quad (5)$$

Moreover, this noise is statistically independent of the signal. Remember that the above equation is highly simplified due to neglecting every single imperfection a Tx signal encounters, except the noise itself.

White: Just like the white colour which is composed of all frequencies in the visible spectrum, white noise refers to the idea that it has uniform power across the whole frequency band. As a consequence, the Power Spectral Density (PSD) of white noise is constant for all frequencies ranging from $-\infty$ to $+\infty$, as shown in Figure below.

Nyquist investigated the properties of thermal noise and showed that its power spectral density is equal to $k \times T$, where k is a constant and T is the temperature in Kelvin. As a consequence, the noise power is directly proportional to the equivalent temperature at the receiver.

Gaussian: The probability distribution of the noise samples is Gaussian with a zero mean, i.e., in time domain, the samples can acquire both positive and negative values and in addition, the values close to zero have a higher chance of occurrence while the values far away from zero are less likely to appear. This is shown in Figure below. As a result, the time domain average of a large number of noise samples is equal to zero.

In reality, the ideal flat spectrum from $-\infty$ to $+\infty$ is true for the frequencies of interest in wireless communications (a few kHz to hundreds of GHz) but not for higher frequencies. Nevertheless, every wireless communication system involves filtering that removes most of the noise energy outside the spectral band occupied by our desired signal. Consequently filtering, it is not possible to distinguish whether spectrum was ideally flat.

3.3.7 Serial to Parallel Conversion

The main function of serial to parallel converter is to convert the serial data parallelly. The parallel data is transmitted by assigning a unique word to each of the subcarriers. Once the symbol has been allocated to each of the subcarriers then they are phased mapped according to the modulating scheme. It is one of the advantages of FBMC that different modulation schemes can be applied to each sub channel depending on

channel condition, data rate, robustness, throughput and channel bandwidth. There could be different modulation schemes applied specified by complex numbers i.e., QPSK, 16 QAM. Modulation to FBMC sub channels can be made adaptive after getting information and estimation of channel at transmitter.

3.3.8 FFT: Time Domain to Frequency Domain Conversion

FBMC distributes the data over a large number of carriers at different frequencies. This spacing provides the orthogonality which prevents the receivers from seeing the wrong frequencies. In contrast to other multi-carriers' techniques, like OFDM, FBMC prevents the Inter Symbol Interference (ISI) by using a poly-phase network is the key features of FBMC is the IFFT/FFT pair. These two mathematical tools are used to transform several signals on different carriers from the frequency domain to the time-domain in the IFFT (or FFT-1) and from the time-domain to the frequency-domain in the FFT.

3.3.9 OQAM Post-Processing

The main function of OQAM post-processing is to separate the alternate real and imaginary parts which are separated by an offset when passed through the transmitter and then demodulation process takes place which results in the desired data at the receiver end.

3.4 Key Features of FBMC

- In the FBMC system, the signal with high spectral containment will be used to reduce the sidelobes of each subcarrier frequency.
- The subcarriers are orthogonal to each other.
- The FBMC system offers more robustness to the time and frequency offset.
- The FBMC system offers more frequency spectral efficiency

3.6 Differences between FBMC and OFDM

OFDM and FBMC known as the multicarrier techniques which is the data symbols will be transmitted simultaneously over the multiple frequency subcarriers. Their nature of multicarrier signals gives in-build support for frequency selective link/rank adoption. The main difference between OFDM and FBMC is the pulse shaping applied at each subcarrier. Most of the wireless mobile communication technology that was developed today is based on OFDM. FBMC is an advancement of OFDM. The basic change in the FBMC system is the replacement of the CP in OFDM with the multicarrier system based on filter bank [24], the inverse Fast Fourier transform (IFFT) and the input of the Cyclic Prefix was replaced by the synthesis filter bank (SFB). Meanwhile for the output of the Cyclic Prefix and Fast Fourier transform (FFT) was replaced by the analysis filter bank (AFB). The frequency spectrums of the subcarriers in the OFDM system are overlapped with the minimum frequency spacing. Besides that, the orthogonality was reached between the different of the subcarriers. The input stream was split into parallel data streams using the serial to parallel data converter (S/P). Subsequently, to generate time sequence of the streams, it will be passed into an IFFT block. The symbol time sequences of the OFDM system will be extended by adding CP. The digital signal produced and converted into analog form before it has been transmitted over the channel. FBMC overcomes the weakness of the OFDM system. In the FBMC system, it produced a well localized subchannel in both frequency and time domain by adding a generalized pulse shaping filters. There is no CP needed in the FBMC system, so it provided more effective use of the radio resources and have more spectral containment signals. Filter bank can be defined as an array of the N filters that will be processed the N input signals to generate N outputs. There are two types of the filter bank in this system which is analysis filter bank (AFB) and synthesis filter bank (SFB). In the AFB, the input of N filter is connected together. Besides that, the system in analogous manner that can be assumed as an analyzer to the input signal based on each filter characteristics. Meanwhile in the SFB, the outputs of the filter array will be added and a new signal will be synthesized. The synthesized analysis configuration known as trans multiplexer and will be applied in the multicarrier communication systems. Figure 9

shows the frequency response for OFDM and FBMC. Based on this figure, it shows the major impact to the transmission data. The energy was concentrated within the frequency range of a single subcarrier for the FBMC systems, meanwhile in the OFDM system shows the rather strong sidelobes due to rectangular windowing. Hence we conclude that FBMC is relatively more efficient when compared with OFDM and it has major advantages which overcome the drawback of OFDM system and it is quite useful for next generation technology such as 5G and many more, the reason behind its success is efficient use of data and complexity is less when compared with other multiplexing techniques.

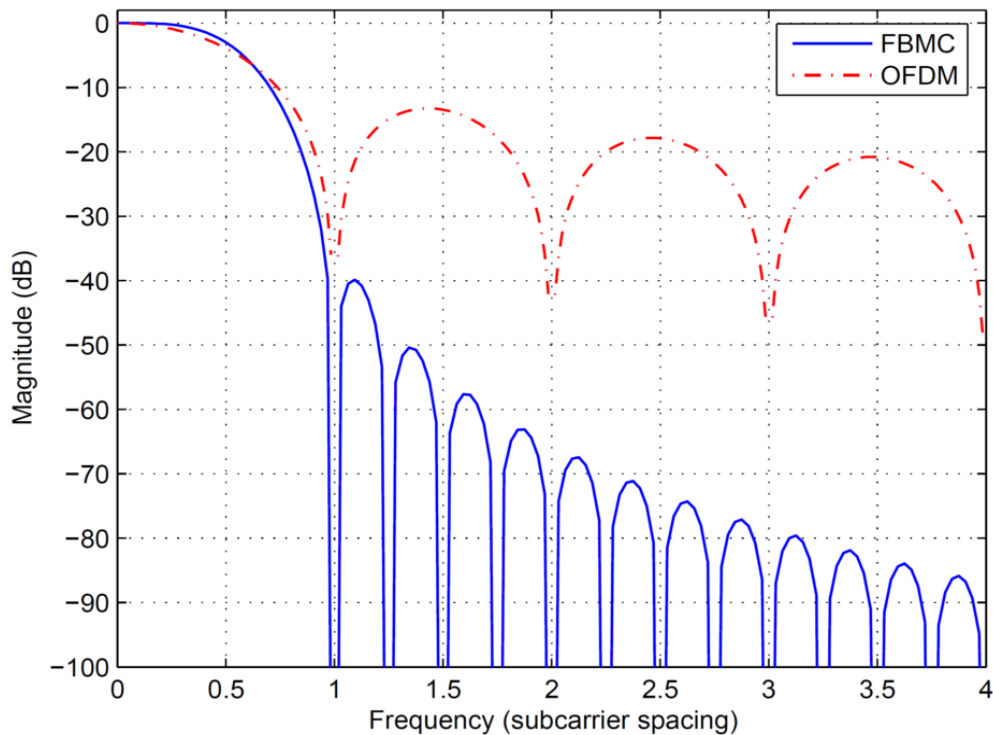


Fig 3.7 Frequency Response of FBMC and OFDM

In the OFDM system, CP extension required, so it reduces the bandwidth efficiency, but in the FBMC system, CP are not required and hence conserves the bandwidth. Besides that, OFDM is very sensitive to the carrier frequency offset (CFO). Meanwhile for the FBMC system, it less sensitive, so it performs significantly with

the increase of the consumer mobile network, it shows that the FBMC system improves in performance compared to OFDM as shown in Fig.3.3.

3.7 Advantages of FBMC system

- FBMC is able to provide a spectrum efficient and more selective system.
- The cyclic prefix, CP required for OFDM is not needed thereby freeing up more space for real data.
- The FBMC system offers more robustness to the time and frequency offset.
- Provide robust narrowband jammers.

3.7.1 Disadvantages of FBMC System

- The use of MIMP with FBMC is very complicated and as a result few systems have investigated the use of these two techniques together.
- The design of wide bandwidth and high dynamic range systems with FBMC provides some significant RF development challenges.
- FBMC is more complicated than OFDM; it introduces an overhead in overlapping symbols in the filter bank in the time domain.

CHAPTER 4

PRECODING TECHNIQUES

4.1 PEAK TO AVERAGE POWER RATIO (PAPR):

The accumulation of modulated subcarrier values during transmission may result in a large value when contrasted to the sample's average value, causing a 'peak' in the output. When the sinusoids with no phase difference between them are combined together, the peak strength of each signal is greatly increased. Non-linear power amplifiers are required when there are a great number of changes in the amplitudes of the multipath signals sent. As a result, more power is lost. The amplitude of peak power, also known as peak power for a sinusoidal signal, is equal to the maximum value of the envelope.

It is defined as the ratio of the maximum power of the sample to the average power of the sample. In addition to this, high PAPR is resulted as an outcome when the different subcarriers are out of phase with each other.

$$PAPR = 10 \log_{10} \left(\frac{\text{Max}\{|x[n]|^2\}}{E\{|x[n]|^2\}} \right) dB \quad (6)$$

The expectation operation is denoted by the letter E. Furthermore, for FBMC/OQAM signals, the complementary cumulative distribution function (CCDF) of PAPR, which gives the probability that the PAPR is above certain threshold values (γ), may be stated as follows:

$$CCDF(\gamma) = P_r(PAPR(x[n]) > \gamma) = 1 - (1 - e^{-\gamma})^k \quad (7)$$

4.2 PAPR REDUCTION TECHNIQUES

Nowadays, reducing PAPR has become pivotal for the multicarrier systems. Various PAPR reduction techniques have been introduced so far for the MCM systems. The fundamental classification for minimization of PAPR can be done as follows:

- Distorted Signal Techniques
- Non-Distortion based Signal Techniques

Signal distortion techniques are those that cause power loss and distortion in the original signal after it has been processed using a reduction technique. The spectrum regrowth phenomena in multicarrier systems is initiated by these approaches. As a result, the spectrum degrades, resulting in distorted signals.

While, if the PAPR is reduced using the reduction methods in order that the original signal remains unaffected and there occurs no loss of power, are called as Non-distorted signal techniques. Some of them are discussed below:

- Clipping and Filtering
- Companding
- Selected Mapping (SLM)
- Tone Reservation (TR)
- Tone Injection (TI)
- Active Constellation Extension (ACE)
- Partial Transmit Sequence (PTS)

4.3 Introduction to Precoding techniques

Before the FBMC modulation, each of data FBMC block is multiplied by a Precoding matrix to the input symbols which is known as data-independent process of Precoding. The Precoding technique is used to reduce the autocorrelation of the input sequence to reduce the peak to average power (PAPR) of FBMC signal and the results make the envelope almost constant. It also works with a random number of subcarriers

and it can improve the BER performance of FBMC signals. However, there are some important conditions for using Precoding matrix to reduce PAPR.

4.4 Walsh Hadamard Transforms

The Walsh Hadamard Transform (WHT) is a non-sinusoidal and can be implemented by a butterfly structure as in FFT. This means that applying WHT does not require the extensive increase in system complexity. WHT decomposes a signal into a set of basic functions. These functions are Walsh functions, which are square waves with values of +1 or -1 . The proposed Hadamard transform scheme may reduce the occurrence of the high peaks comparing the original FBMC system. The kernel of WHT can be written as follows:

$$\mathbf{H}_2 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} \quad (8)$$

$$\mathbf{H}_{2N} = \frac{1}{\sqrt{2N}} \begin{bmatrix} \mathbf{H}_N & \mathbf{H}_N \\ \mathbf{H}_N & -\mathbf{H}_N \end{bmatrix} \quad (9)$$

$$\mathbf{H}_1 = [1] \quad (10)$$

The output sequence of WHT can be written as:

$$y_k = \frac{1}{N} \sum_{n=0}^{N-1} a_n \text{WAL}(n, k) \quad (11)$$

Where $k = 0, 1, \dots, N-1$ $\text{WAL}(n, i)$ are Walsh functions, a is the input to the Precoding transform, y is the output of Precoding transform.

4.5 Discrete Hartley transform

A discrete Hartley transform (DHT) is a fourier related transform of discrete, periodic data similar to the discrete fourier transform (DFT), with analogous applications in signal processing and related fields. Its main distinction from the DFT is that it transforms real inputs to real outputs, with no intrinsic involvement of complex numbers. Just as the DFT is the discrete analogue of the continuous fourier transform (FT), the DHT is the discrete analogue of the continuous Hartley transform (HT). Because there are fast algorithms for the DHT analogous to the fast fourier transform (FFT) as a more efficient computational tool in the common case where the data are purely real. It was subsequently argued, however, that specialized FFT algorithms for real inputs or outputs can ordinarily be found with slightly fewer operations than any corresponding algorithm for the DHT.

Formally, the discrete Hartley transform is a linear, invertible function $H: \mathbf{R}^n \rightarrow \mathbf{R}^n$ (where \mathbf{R} denotes the set of real numbers). The N real numbers x_0, \dots, x_{N-1} are transformed into the N real numbers H_0, \dots, H_{N-1} according to the formula

$$H_k = \sum_{n=0}^{N-1} x_n \text{cas}\left(\frac{2\pi}{N}nk\right) = \sum_{n=0}^{N-1} x_n \left[\cos\left(\frac{2\pi}{N}nk\right) + \sin\left(\frac{2\pi}{N}nk\right) \right] \quad k = 0, \dots, N-1. \quad (12)$$

4.6 Properties of discrete hartley transform

1. The transform can be interpreted as the multiplication of the vector (x_0, \dots, x_{N-1}) by an N -by- N matrix; therefore, the discrete Hartley transform is a linear operator. The matrix is invertible; the inverse transformation, which allows one to recover the x_n from the H_k , is simply the DHT of H_k multiplied by $1/N$. That is, the DHT is its own inverse (involuntary), up to an overall scale factor.

2. The DHT can be used to compute the DFT, and vice versa. For real inputs x_n , the DFT output X_k has a real part $(H_k + H_{N-k})/2$ and an imaginary part $(H_{N-k} - H_k)/2$. Conversely, the DHT is equivalent to computing the DFT of x_n multiplied by $1 + i$, then taking the real part of the result.

3. As with the DFT, a cyclic convolution $\mathbf{z} = \mathbf{x} * \mathbf{y}$ of two vectors $\mathbf{x} = (x_n)$ and $\mathbf{y} = (y_n)$ to produce a vector $\mathbf{z} = (z_n)$, all of length N , becomes a simple operation after the DHT. In particular, suppose that the vectors \mathbf{X} , \mathbf{Y} , and \mathbf{Z} denote the DHT of \mathbf{x} , \mathbf{y} , and \mathbf{z} respectively. Then the elements of \mathbf{Z} are given by:

$$Z_k = [X_k (Y_k + Y_{N-k}) + X_{N-k} (Y_k - Y_{N-k})] / 2 \quad (13)$$

$$Z_{N-k} = [X_{N-k} (Y_k + Y_{N-k}) - X_k (Y_k - Y_{N-k})] / 2 \quad (14)$$

where we take all of the vectors to be periodic in N ($X_N = X_0$, et cetera). Thus, just as the DFT transforms a convolution into a pointwise multiplication of complex numbers (*pairs* of real and imaginary parts), the DHT transforms a convolution into a simple combination of *pairs* of real frequency components. The inverse DHT then yields the desired vector \mathbf{z} . In this way, a fast algorithm for the DHT (see below) yields a fast algorithm for convolution. (This is slightly more expensive than the corresponding procedure for the DFT, not including the costs of the transforms below, because the pairwise operation above requires 8 real-arithmic operations compared to the 6 of a complex multiplication. This count doesn't include the division by 2, which can be absorbed e.g. into the $1/N$ normalization of the inverse DHT.)

CHAPTER 5

MATLAB

5.1 Introduction to MATLAB

MATLAB is a high-performance accent for abstruse computing. It integrates computation, visualization, and programming in an easy-to-use ambience area. Problems and solutions are bidding in accustomed algebraic notation. Typical uses include

- Math and ciphering
- Algorithm development
- Data accretion
- Modeling simulation, and prototyping
- Data analysis, exploration, and accommodation
- Scientific and engineering cartoon
- Application development, including graphical user interface building

MATLAB is an alternate arrangement whose basal abstracts aspect is an arrangement that does not crave dimensioning. This allows you to break abounding abstruse accretion problems abnormally those with cast and agent formulations, in atom of the time it would yield to address affairs in a scale non alternate docent such as C. The name MATLAB stands for cast laboratory. MATLAB has acquired over a icon of years with a scribe from abounding users. In university environments, it is the accepted advisory apparatus for anterior and Avant Garde courses in mathematics, engineering, and science. In industry, MATLAB is the apparatus of best for high-productivity research, development, and analysis.

MATLAB actualizes the ancestors of add-on application-specific solutions alleged toolboxes. Very important to a lot of users of MATLAB, toolboxes acquiesce you to apprentice and administer specialized technology. Toolboxes are absolute collections of MATLAB functions (M-files) that extend the MATLAB ambience to break accurate classes of problems. Areas in which toolboxes are accessible cover arresting

processing, ascendancy systems, neural networks, down-covered logic, wavelets, simulation, and abounding others

5.2 The MATLAB System

The MATLAB arrangement consists of 6 capital parts

5.2.1 Development Environment

This is the set of accoutrement and accessories that advise you to use MATLAB functions and files. Abounding of these accoutrements are graphical user interfaces. It includes the MATLAB desktop and Command Window, a command history, an editor and debugger, and browsers for examination help, the workspace, files, and the seek path.

5.2.2 The MATLAB Algebraic Function

This is an all-inclusive accumulating of computational algorithms alignment from elementary functions like sum, sine, cosine, and circuitous arithmetic, to added adult functions like cast inverse, cast eigenvalues, Bessel functions, and fast Fourier transforms.

5.2.3 The MATLAB Language

This is a high-level matrix/array accent with ascendant breeze statements, functions, abstracts structures, input/output, and acquisitive programming features. It allows both programming in the small to rapidly actualize quick and bedraggled departure programs and programming in the large to actualize complete ample and circuitous appliance programs.

5.2.4 Graphics

MATLAB has all-encompassing accessories for announcement vectors and matrices as graphs, as able-bodied as annotating and pressing these graphs. It includes high-level functions for two-dimensional and three-dimensional abstracts visualization, angel processing, animation and presentation graphics it as well includes low-level functions that acquiesce you to absolutely adapt the actualization of cartoon as able-bodied at to body complete graphical user interfaces on your MATLAB applications.

5.2.5 The MATLAB Appliance Affairs Interface (API)

This is a library that allows you to address C and Fortran programs that collaborate with MATLAB. It includes accessories for calling routines from MATLAB (dynamic linking), calling MATLAB as a computational engine, and for account and autograph MAT-files.

5.2.6 MATLAB Desktop

MATLAB Desktop is the capital MATLAB appliance window. The desktop contains 6 sub windows, the command window, the workspace browser, the accepted agenda window, the command history window, and one or added amour windows, which are apparent alone if the user displays a graphic.

The command window is the area the user types MATLAB commands and expressions at the alert) and area the achievement of those commands is displayed MATLAB defines the workspace as the set of variables that the user creates in a plan session the workspace browser shows these variables and some advice about them. Double beat on a capricious in the workspacebrowser launches the Management Editor, which can be acclimated to access advice and assets instances adapt assertive backdrop of the variable.

The accepted Agenda tab aloft the workspace tab shows the capacity of the accepted directory, whose aisle is apparent in the accepted agenda window. For example, in the windows operating arrangement the aisle ability be as follows: CAMATLAB Work, advertence that agenda work" a subdirectory of the capital agenda "MATLAB"; WHICH IS INSTALLED IN DRIVE C beat on the arrow in the accepted agenda window shown a account of afresh acclimated paths Beat on the button to the appropriate of the window allows the user to change the accepted directory.

MATLAB is a deck aisle to accretion M-files and added MATLAB accompanying files, which are adapted in directories in the computer book system, any book run in MATLAB has to abide in the accepted agenda or in an agenda that is on seek path. By default, the files supplied with MATLAB and algebraic works toolboxes are included in the seek path. The easiest way to see which directories are on the seek path.

The easiest way to see which directories are on the seek path, or to add or adapt a seek path, is to click the set path button on the Book card on the desktop, and again use the set path chat box. It is acceptable convenience to add any frequently used directories to the seek path to avoid again accepting the change to the accepted directory.

The Command History Window contains an almanac of the commands a user has entered in the command window, including both accepted and antecedent MATLAB sessions, previously entered MATLAB commands can be recalled and re-executed from the command history window by appropriate click on a command or arrangement of commands.

This activity launches a card from which to click assorted options in accession to active the commands. This is advantageous to click assorted options in accession to active the commands. This is an advantageous affection if experimenting with assorted commands in a plan session.

5.2.7 Using the MATLAB Editor to actualize M-Files

The MATLAB editor is both an argument editor specialized for creating M-files and a graphical MATLAB debugger. The editor can arise in a window by itself, or it can be a sub window in the desktop. M-files are denoted by the addendum m, as in pixelup.m. The MATLAB editor window has abundant pull-down menus for tasks such as saving, viewing and debugging files. Because it performs some simple checks and as well uses color to differentiate amid assorted elements of code, this argument editor is recommended as the apparatus of best for autograph and alteration M-functions. To access the editor, click the icon that opens the M-file filename.m in an editor window, accessible for editing as explained earlier, the book has to be in the accepted directory, or in an agenda in the seek path.

5.2.8 Getting Help

The arch way to get advice online is to use the MATLAB advice browser opened as an abstract window either by clicking on the help icon on the desktop toolbar, or by accounting advice browser at the icon in the command window. The advice Browser is a web browser chip into the MATLAB desktop that displays a

Hypertext Markup Language (HTML) document. The Advice Browser consists of two panes, the advice navigator pane, acclimated to accretion information, and the affectation pane, acclimated to appearance the information Self-explanatory tabs added than navigator area is acclimated to accomplish a search.

5.3 Communication

The Communications Arrangement Toolbox provides algorithms and accoutrement for the design, simulation, and assay of communications systems. These capabilities are provided by the MATLAB function, MATLAB Arrangement objects and Simulink block. The arrangement toolbox includes algorithms to antecedent coding, access coding, interleaving modulation equalization, synchronization, and access modelling. Accoutrement are provided for bit absurdity amount analysis, breeding eye and afterlife diagrams, and visualizing access characteristics. The arrangement toolbox as well provides adaptive algorithms that let you archetypal activating communications systems that use OFDM, OFDMA, and MIMO techniques. Algorithm's abutment fixed-point abstracts accession and C or HDL cipher generation.

5.4 Key Features

Algorithms for designing the concrete band of communications systems, including antecedent coding access coding, interleaving modulation, access models. MIMO equalization and synchronization.

- GPU-enabled Arrangement tar for computationally accelerated algorithms such as Turbo, LDPC, and Viterbi decoders Alternate accommodation tools, including eye diagrams, constellations and access drop functions
- Graphical apparatus for comparing the apish bit absurdity amount of an arrangement with analytical results.
- Access models, including AWGN. Multipath Rayleigh Fading. Rician Fading. MIMO Multipath Fading, and LTE MIMO Multipath Fading
- Basal RF impairments, including nonlinearity, actualization noise, thermal noise and actualization and abundance offsets

- Algorithms accessible as MATLAB function MATLAB Arrangement objects, and Simulink blocks
- Abutment for fixed-point clay and C and HDL cipher generation

5.5 System Design

The architecture and simulation of a communications arrangement requires allegory its acknowledgment to the babble and arrest inherent in real world environments, belief its behaviour appliance graphical and quantitative means, and free whether the consistent achievement meets standards of acceptability. Communications Arrangement Toolbox accoutrements a array of tasks for communications arrangement architecture and simulation. Abounding of the functions, Arrangement objects and blocks in the arrangement toolbox accomplish computations associated with an accurate basic of a communications system, such as a demodulator or equalizer. Added capabilities are advised for accommodation or analysis.

5.6 System Characterization

The arrangement toolbox offers several accepted methods for quantitatively anecdotic arrangement performance:

- Bit absurdity amount (BER) computations
- Adjoining access ability arrangement (ACPR) measurements
- Absurdity agent consequence (EVM) measurements
- Accentuation absurdity arrangement (MER) measurements

Because BER computations are axiological to the assumption of any communications system. the arrangement toolbox provides the afterward accoutrement and capabilities for configuring BER analysis scenarios.

5.7 BER

BER apparatus - A graphical user interface that enables you to BER achievement of communications systems. You can assay achievement visa simulation-based, semi analytic, or abstract approach. Error Amount Analysis Console - A MATLAB article

that runs simulations for communications systems to measure absurdity amount performance It supports specified analysis credibility and bearing of parametric achievement plots and surfaces Accelerated achievement can be accomplished if active on a multicore accretion platform.

Multicore and GPU dispatch - A adequacy provided by Parallel Accretion Toolbox that enables you to advance simulation achievement appliance multicore and GPU accoutrements aural your computer. Distributed accretion and billow accretion abutment Capabilities provided by Parallel Accretion Toolbox and MATLAB Distributed Accretion Server that accredit you to advantage the accretion ability of your server farms and the Amazon EC2 Web service.

5.8 Performance Visualization

The arrangement toolbox provides the afterward capabilities for visualizing arrangement performance: Access accommodation apparatus -For visualizing the characteristics of a crumbling access Eye diagrams and arresting after life besprinkle plots- For a qualitative, beheld compassionate of arrangement behaviour that enables you to accomplish antecedent architecture decisions Signal aisle plots - For a connected account of the signal's aisle amid accommodation points.

BER plots - For visualizing quantitative BER achievement of an architecture candidate, parameterized by metrics such as SNR and fixed-point char size.

5.9 Analog and Digital Modulation

Analog and digital modulation techniques encode the information stream into a signal that is suitable for transmission Communications System Toolbox provides a number of modulation and corresponding demodulation capabilities. These capabilities are available as MATLAB functions and objects MATLAB System objects and Simulink blocks Modulation types provided by the toolbox are

Analog: AM, FM, PM, SSB, and DSBSC.

Digital: FSK, PSK, BPSK, DPSK, OQPSK, MSK, PAM, QAM and TCM.

5.10 Source and Channel Coding

The Communications System Toolbox provides source and channel coding capabilities that let you develop and evaluate communications architectures quickly enabling you to explore what if scenarios and avoid the need to create coding capabilities from scratch.

5.10.1 Source Coding

Source coding, also known as quantization or signal formatting is a way of processing data in order system toolbar provides a variety of types of algorithms for implementing source coding and decoding, including

- Quantizing
- Companding (Mu-law and A-law)
- Differential pulse code modulation (DPCM)
- Huffman coding
- Arithmetic coding

5.10.2 Channel Coding

To combat the effects of noise and channel corruption, the system toolbox provides block and convolutional coding and decoding techniques to implement error detection and correction. For simple error detection with no inherent correction, a cycle redundancy check capability is also available Channel coding capabilities provided by the system toolbox include:

- BCH encoder and decoder
- Reed-Solomon encoder and decoder
- LDPC encoder and decoder
- Convolutional encoder and Viterbi decoder
- Orthogonal space-time block code (OSTBC) (encoder and decoder for MIMO channels)
- Turbo encoder and decoder examples

CHAPTER 6

SIMULATION RESULTS

6.1 Power Spectral Density (PSD)

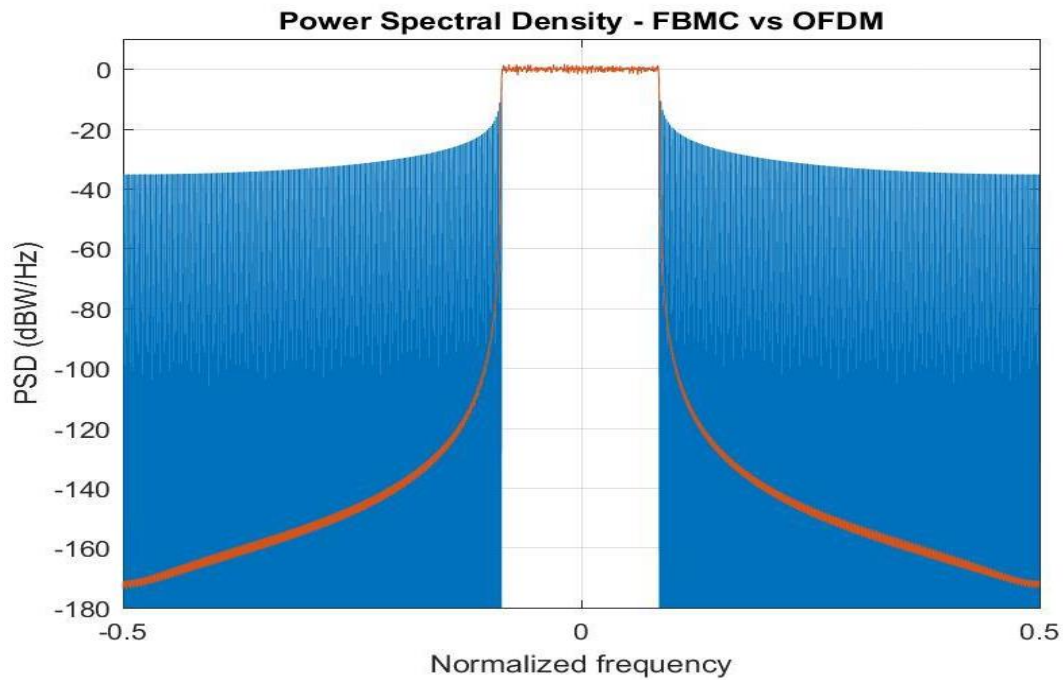


Fig 6.1 Power Spectral Density

Power Spectral Density helps to display the strength of the energy variation as a function. It shows, at which point the variation of frequency is weaker. The PSD computation is done through the FFT method. Integration of PSD provides the energy of the specific frequency range.

$$\text{PSD} = \text{Energy (w)}/\text{Frequency (Hz)}$$

From the above figure 3, it is found that CP-OFDM spectrum in the out of band is -35 dB/ MHz whereas for FBMC it is of -170 dB/ MHz's therefore, spectrum leakage will be less felt when we use FBMC.

6.2 Conventional FBMC and FBMC with DHT & WHT precoding

The highest peak to average power ratio is the main disadvantage of the multicarrier modulation techniques the input data stream is subdivided into many sub streams. This sub streams are called sub carriers. The subcarriers are modulated (Independently) at different carrier frequencies and it produces a high PAPR for transmission purpose added up simultaneously. The peak power of a signal is produced when N number of signals are added in the same phase. The peak power of a signal is produced when N times the average power of the signal. So, the PAPR value is very high in the multicarrier signals. The Peak to Average Power Ratio is expressed as

$$PAPR_{dB} = 10 \log \left(\frac{\max[x(t)x^*(t)]}{E[x(t)x^*(t)]} \right) \quad (14)$$

Here, $|X(t)|$ is the amplitude of the $X(t)$ and E is the expectation of the signal.

Scheme	PAPR (dB)
CONVENTIONAL FBMC	10.4637
FBMC WITH DHT PRECODING	6.8933
FBMC WITH WHT PRECODING	5.7082

Table. 1 PAPR in conventional FBMC and DHT & WHT precoding

Table 1 depicts the PAPR at CCDF= 10^{-3} for the DHT technique. From Table 1, we can observe that for DHT precoding, results in reduction of PAPR by about 2.2 dB when compared with the original FBMC system at 10^{-3} complementary cumulative

distribution function (CCDF) and for WHT precoding, the PAPR is reduced by about 0.8 dB at 10^{-3} of the complementary cumulative distribution function (CCDF).

6.2.1 CCDF vs PAPR (dB) plots in FBMC System with DHT & WHT transforms

Complementary Cumulative Distribution Function is useful to study the opposite question and ask how often the random variable is above a particular level. This is called the complementary cumulative distribution function (CCDF) or simply the tail distribution or exceedance.

This has applications in statistical hypothesis testing, for example, because the one-sided p-value is the probability of observing a test statistic at least as extreme as the one observed. Thus, provided that the test statistic, T, has a continuous distribution, the one-sided p-value is simply given by the cdf, one of the most popular application of cumulative distribution function is standard normal table, also called the unit normal table or Z table, is the value of cumulative distribution function of the normal distribution. It is very useful to use Z-table not only for probabilities below a value which is the original application of cumulative distribution function, but also above and/or between values on standard normal distribution, and it was further extended to any normal distribution.

The complementary accumulative distribution function (CCDF) is used to tell the percentage of time that the power is greater than or equal to a certain value. The complementary cumulative distribution function (CCDF) is also used to obtain the PAPR value.

$$\text{CCDF} = \text{Prob}(\text{PAPR} > X_0)$$

Where X_0 is the threshold

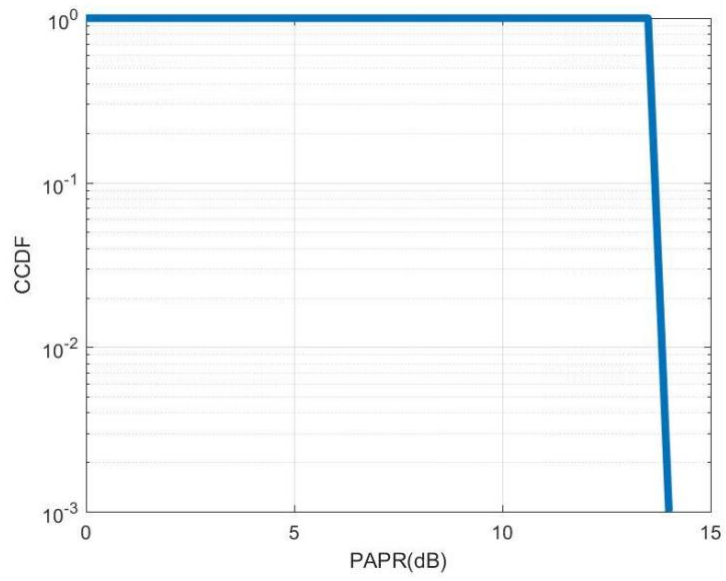
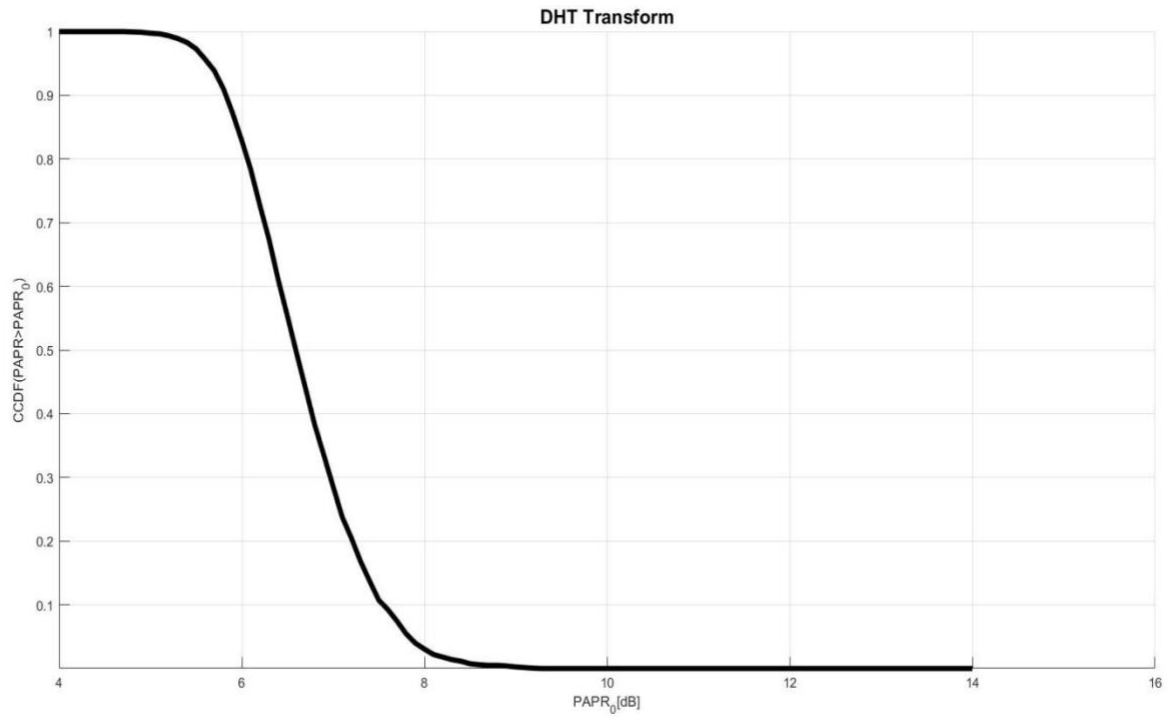


Fig 6.2 Conventional FBMC

Above figure shows us the PAPR in FBMC system without using precoding at



Complementary Cumulative Distribution Function= 10^{-3} .

Fig 6.3 FBMC with DHT precoding

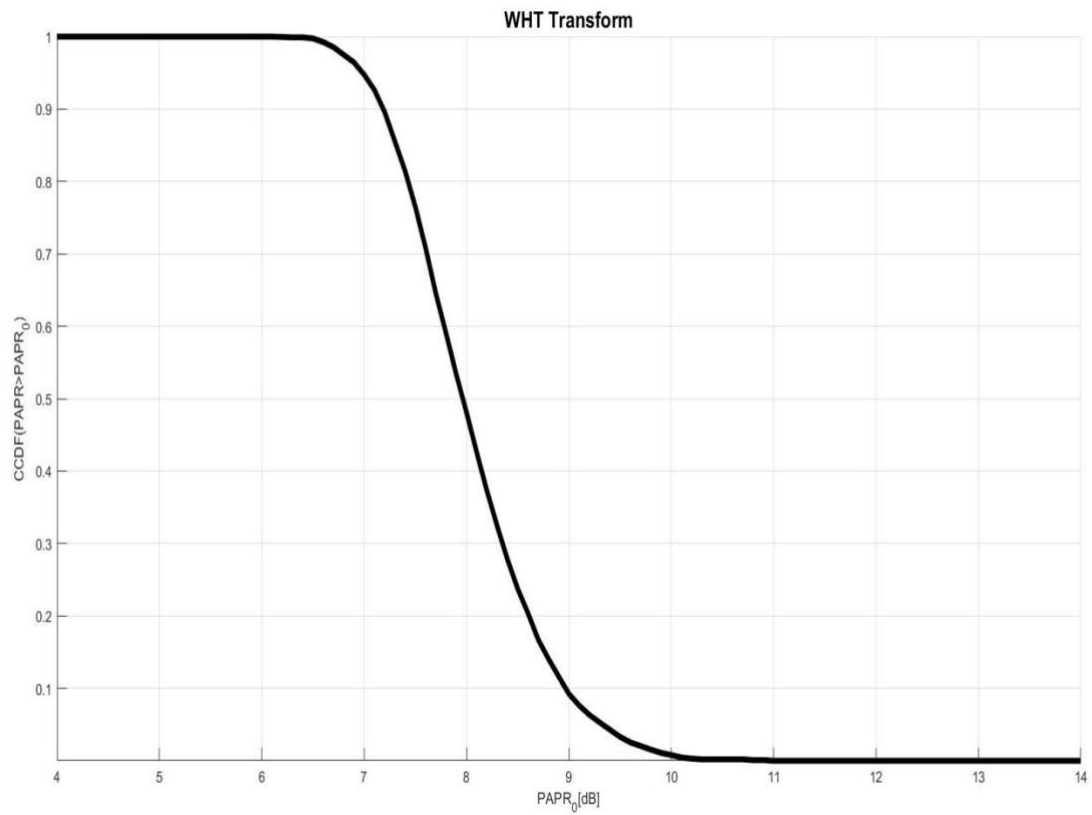


Fig 6.4 FBMC with WHT precoding

Above figure shows us the PAPR in FBMC system with using WHT precoding at Complementary Cumulative Distribution Function= 10^{-3} .

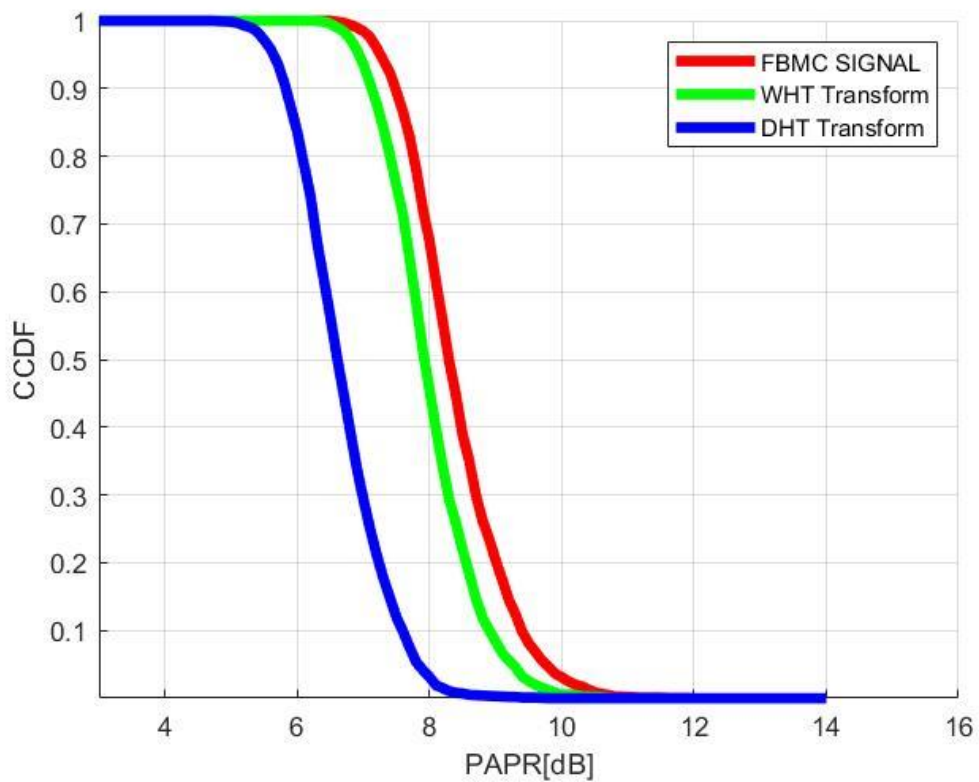


Fig 6.5 FBMC with DHT & WHT Precoding

Above figure shows us the combined plot of the above graphs which clearly state that with the help of precoding techniques we can effectively reduce the PAPR in FBMC system.

CHAPTER 7

CONCLUSION AND FUTURE SCOPE

7.1 Conclusion

FBMC/OQAM is one of the best waveforms used for the next wireless communication systems 5G. It provides a better spectral operation when compared to OFDM. The high PAPR value which is a major drawback that can be eliminated effectively using precoding techniques, paving the path for the use of FBMC in 5G communication. Proper precoding scheme at the transmitter is used to reduce the PAPR. DHT and WHT are the two precoding techniques employed to reduce the PAPR. The WHT technique is found to minimize the PAPR value by 3.570dB while the DHT technique minimized the same by 4.7609dB when compared to the conventional FBMC. When the two techniques were compared the DHT reduced the PAPR much better than WHT by 1.1905dB

7.2 Future Scope

The biggest thing that will happen in the mobile communication industry is the advent of 5G mobile communication technology which is on track for launch in 2020 in conjunction with the Olympic Games in Tokyo. 5G mobile communications is the next step in the transformation of communication technology. The competence of 5G mobile communication must broaden far beyond those of previous generations to facilitate connectivity for a wide range of applications. These capabilities consist of very low latency, ultra-high reliability and very high achievable data rates. Besides that, the consumers will be able to download a 1080p HD movie to their mobile phone in about one second and also 50GB video games within a minute with this 5G mobile communication technology.

FBMC is a new waveform technique having few advantages over OFDM for future 5G mobile communication technology and the fundamental change of this technology

is the replacement of the OFDM with a multicarrier system based on filter bank at the receiver and transmitter. Besides that, the CP extension is required and therefore reduces bandwidth efficiency in OFDM. OFDM is very sensitive to the carrier frequency offset meanwhile in FBMC is less sensitive so it performs better with the increase of the mobile consumers.

REFERENCES

1. B. Farhang-Boroujeny, "OFDM versus filter bank multicarrier," *Signal Processing Magazine, IEEE*, vol. 28, no. 3, pp. 92–112, 2011.
2. R. Nissel, S. Schwarz and M. Rupp, "Filter Bank Multicarrier Modulation Schemes for Future Mobile Communications," in *IEEE Journal on Selected Areas in Communications*, vol.35,no.8,pp.1768-1782,Aug.2017.
3. D. Qu, S. Lu, and T. Jiang. "Multi-block joint optimization for the peak to-average power ratio reduction of FBMC-OQAM signals," *IEEE Trans.Signal Process.*, vol. 61, no. 7, pp. 1605-1613, Jan. 2013.
4. Imad A Shaheen, Abdelhalim Zekry, Fatma Newagy and Reem Ibrahim. Proposed New Schemes to Reduce PAPR for STBC MIMO FBMC systems. *Communications on Applied Electronics* 6(9):27-33, April 2017
5. Tao Jiang Da, Chen , Chunxing Ni, Daiming Q, *OQAM/FBMC for Future Wireless Communications Principles ,Technologies and Applications,Elsevier Ltd.,2018.*
6. Markku Renfors, Xavier Mestre, Eleftherios Kofidis and Filter Banks for Future Communication Systems Elsevier Ltd.,2017.

